

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kenneth J. Haugen, et al.
Title: UNIVERSAL SERIAL BUS FLASH BAY
Filing Date:

22388 U.S. PTO
10/664388
09/17/03

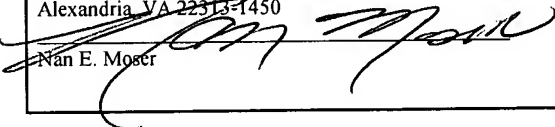
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING VIA EXPRESS MAIL
UNDER 37 C.F.R. § 1.10

EXPRESS MAIL Mailing Label No.

Date of Deposit: 9/16/2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service on the date indicated above in an envelope as "Express Mail Post Office to Addressee" addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450


Nan E. Moser

UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

Dear Sir:

Transmitted herewith for filing, please find the following:

- ☐ 1. Verified statement establishing "small entity" status under 37 CFR § 1.9 and 1.27
- ☒ 2. Specification (18 pages, 31 claims, and 1 page(s) abstract)
- ☒ 3. 5 sheet(s) of drawing(s) (5 formal ☐ informal) comprising Figures 4 through
- ☒ 4A. Declaration (☒ executed ☐ unexecuted)
- ☐ 4B. No Oath or Declaration is enclosed pursuant to 37 C.F.R. 1.53(d)
- ☒ 5. Assignment to Spotware Technologies, Inc. and Recordation Form Cover Sheet
- ☒ 6. Power of Attorney
- ☐ 7. Information Disclosure Statement, Form PTO-1449, and copies of IDS citations
- ☐ 8. This is a: ☐ Continuation; ☐ Divisional; ☐ Continuation-In-Part of
of prior Application Serial No. _____ filed _____,
Examiner _____, Group Art Unit _____
- ☒ 9. Priority is claimed under 35 U.S.C. § 119(e), Application No. 60/420207 filed 10/22/2002
- ☐ 10. Preliminary Amendment
- ☐ 11. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

X 12. Return Receipt Postcard

13. FEE CALCULATION

| | | | | SMALL ENTITY RATE | OR | LARGE ENTITY RATE | |
|--|----------------|------|-----------------|-------------------------|---------|-------------------------|---------------------|
| Basic Filing Fee | | | | \$370 | | \$740 | = \$ <u>750.00</u> |
| | TOTAL FILED | | EXTRA CLAIMS | | | | |
| Total Claims | 31 | - 20 | = | <u>11</u> | X | \$9 OR \$18 | = \$ <u>198.00</u> |
| Independent Claims | <u>4</u> | - 3 | = | <u>1</u> | X | \$42 OR \$84 | = \$ <u>84.00</u> |
| Multiple dependent claims | | | | | X \$140 | OR \$280 | = \$ <u> </u> |
| Assignment recordation fee (\$40) | | | | | | | \$ <u>40.00</u> |
| Rule 47 petition fee (inventor refuses to sign or cannot be reached) (\$130) | | | | | | | \$ <u> </u> |
| TOTAL FILING FEE | | | | | | | = \$ <u>1072.00</u> |

 14. A check in the amount of \$ to cover the Total Filing Fee calculated in Item 13 is enclosed. Please charge and deficiency or credit any overpayment to Deposit Account No. .

X 15. Please charge Deposit Account No. 50-0439 in the amount of \$ 1072.00 to cover the Total Filing Fee (including Assignment recordation fee) calculated in Item 13.
A copy of this transmittal is enclosed.

X 16. The Commissioner is hereby authorized to charge any insufficient fees necessary for filing of this application, or credit any overpayments, to Deposit Account No. 50-0439.
A copy of this transmittal is enclosed.

X 17. Correspondence Address: Customer No **32,718**

CUSTOMER NUMBER
BAR CODE LABEL

Respectfully submitted,

Date: 12 Sep 2003

By: 

Mark S. Walker
Reg. No. 30,699

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